



DOCKET NO. PO9-98-109 PAGE 1 OF 3

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD OF CONTROLLING THE FLOW OF INFORMATION BETWEEN SENDERS AND RECEIVERS ACROSS LINKS BEING USED AS CHANNELS,

KECET VERS	ACRODD LIMB BEING OF	•	
the speci	fication of which (chec	ck one)	
	is attached hereto.	٠.	
<u>X</u> D	Application Number or	PCT International Applica and was amended	tion
I hereby above ide amendment	ntified specification,	ewed and understand the co including the claims, as acknowledge the duty to d e all information known to fined in 37 CFR § 1.56.	amended by any isclose to the
Tor § 365( certifica designate below and	b) of any foreign appli te, or § 365(a) of any d at least one country have also identified b or's certificate, or PO	benefits under 35 U.S.C. ication(s) for patent or i PCT International applica other than the United Stapelow any foreign applicating International applicating phication on which prior	nventor's tion which tes, listed ion for patent on having a
		Pr	iority Claimed
(N)	(Country)	(Day/Month/Year Filed)	_ Yes No
(Number)	(Country)	(bay, 1011011, 10111 1 1 1 1 1 1 1 1 1 1 1 1	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	_ res no
I hereby	claim the benefit undernal application(s) list	r 35 U.S.C. §119(e) of any ed below.	United States
(Application	on Number)	(Filing Date)	
/annlientie	on Number)	(Filing Date)	

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I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

(Appl. Serial No.) (Filing Date) (Status)(patented, pending, abandoned)

(Appl. Serial No.) (Filing Date) (Status)(patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Req. No. 24,227 Lynn L. Augspurger, Esq. Reg. No. 28,501 Lawrence D. Cutter, Esq. Req. No. 39,966 Marc A. Ehrlich, Esq. Reg. No. 17,959 Bernard M. Goldman, Esq. Reg. No. 26,732 Floyd A. Gonzalez, Esq. Req. No. 26,279 John E. Hoel, Esq. Reg. No. 26,914 Christopher A. Hughes, Esq. Reg. No. 27,650 William A. Kinnaman, Jr., Esq Req. No. 38,254 Lily Neff, Esq. Req. No. 32,588 Edward A. Pennington, Esq. Reg. No. 33,135 William B. Porter, Esq. Req. No. 18,753 Joseph C. Redmond, Jr., Esq. Reg. No. 26,429 Jeff Rothenberg, Esq. Reg. No. 31,789 Kevin P. Radigan, Esq. Req. No. 35,670 Blanche E. Schiller, Esq.

### Send Correspondence to:

Blanche E. Schiller, Esq. HESLIN & ROTHENBERG, P.C. 5 Columbia Circle Albany, New York 12203-5160 Telephone: (518) 452-5600 Facsimile: (518) 452-5579

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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### DOCKET NO. PO9-98-109 PAGE 3 OF 3

# ADDED PAGE(S) TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR SIGNATURE BY FIRST AND SUBSEQUENT INVENTORS

Full Name of sole or first inventor: Thomas A. Gregg				
Signature:				
Residence: 121 Bellevue Road, Highland, NY 12528				
Citizenship: U.S.				
Post Office Address: 121 Bellevue Road, Highland, NY 12528				
Full Name of second joint inventor: Kulwant M. Pandey				
Signature: Kulwart M Routy Date: 1/2/90  Residence: 381 Andrews Road, Lagrangeville, NY 12540  Citizenship: U.S.  Post Office Address: 381 Andrews Road, Lagrangeville, NY 12540  Full Name of third joint inventor:  Date:				
Residence: 381 Andrews Road, Lagrangeville, NY 12540				
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Post Office Address: 381 Andrews Road, Lagrangeville, NY 12540				
United Tours of third joint inventor:				
Signature: Date:				
Residence:				
Citizenship:				
Post Office Address:				
Full Name of fourth joint inventor:				
Signature: Date:				
Residence:				
Citizenship:				
Post Office Address:				



IBM Docket No: P09-98-109

### ASSIGNMENT

Whereas, we

(1) Thomas A. Gregg County of Ulster of Highland and State of New York

(2) Kulwant M. Pandey County of Dutchess of Lagrangeville and State of New York

have invented certain improvements in

MRTHOD OF CONTROLLING THE FLOW OF INFORMATION BETWEEN SENDERS AND RECEIVERS ACROSS LINKS BEING USED AS CHANNELS

and executed a United States Patent Application therefor on

(1) 11/2/98 and (2) 11/2/98.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

### Signed

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(1) at Poughkeepsie, NY

on 11/2/98

Thomas A. Gregg

(2) at Poughkeepsie, NY

on 11/2/98

Kulwant M. Pandey

\*\* TOTAL PAGE.05 \*\*

97%





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

FEBRUARY 26, 1999

IBM CORPORATION
LILY NEFF, ATTORNEY
INTELLECTUAL PROPERTY LAW -522 SOUTH ROAD, M/S P386
POUGHKEEPSIE NY 12601-5400







UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/06/1998

REEL/FRAME: 9568/0829 NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GREGG, THOMAS A.

DOC DATE: 11/02/1998

ASSIGNOR:

PANDEY, KULWANT M.

DOC DATE: 11/02/1998

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES CORPORATION NEW ORCHARD ROAD ARMONK, NEW YORK 10504

SERIAL NUMBER: 09151051

PATENT NUMBER:

FILING DATE: 09/10/1998

ISSUE DATE:

P0998109

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9568/0829 PAGE 2

JOANN STEWART, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

U.S. Department of Commerce

1-12-1998



FORM PTO-1595

ET - PATENTS ONLY

i00875485

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  a) Thomas A. Greqg g) b) Kulwant M. Pandey h) c) d) j) e) k) f) More names attached? Y x N	2. Name and address of receiving party(ies): Name: INTERNATIONAL BUSINESS MACHINES CORPORATION Address: New Orchard Road Armonk, NY 10504  More names attached? Y X N				
3. Nature of Conveyance: x Assignment					
4. Application number: 09/151,051 Filed: 09/10/98  Filed with new application: yes _x no  Execution date(s):					
Additional numbers attached? Y X N					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Lily Neff, Attorney IBM Corporation Intellectual Property Law 522 South Road, M/S P386 Poughkeepsie NY 12601-5400	6. Total # of applications and patents involved: 01  7. Total fee (37 CFR 3.41): \$ 40.00  8. Charge to Deposit Account 09-0463.				
/18/1990 SMENS 00000002 090463 09151051					
FC:S81 40.00 CH DO NOT USE THIS S					
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  11/3/98					
Susan L. Phelps Signature	Date 11/3/98				
Total number of pages including cover sheet, attachments, and document: 2					
Express Mail Label No. (if applicable): Docket No.: PO9-98-109					